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Malpractice Policy (Exams)

2025-2026

Queen Elizabeth II High School

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Centre name	Queen Elizabeth II High School
Centre number	45011
Date policy first created	06/10/2025
Current policy approved by	Mr Steven Slack
Current policy reviewed by	Mrs Paula Venezia
Date of review	06/10/2025
Date of next review	06/10/2026

Key staff involved in the policy

Role	Name
Head of centre	Mrs Charlotte Clarke
Senior leader(s)	Mr Jason Coole (Deputy Head), Mrs Julie Ozer (Business Manager), Mrs Joanne Steriopulos (Assistant Head), Mr John Kinley (Assistant Head), Mrs Olivia Graham (Assistant Head) Mr Steven Slack (Assistant Head Exams, Data and Assessment)
Exams officer	Mrs Paula Venezia
Other staff (if applicable)	

This policy is reviewed and updated annually to ensure that any malpractice at Queen Elizabeth II High School is managed in accordance with current requirements and regulations.

Reference in the policy to **GR** and **SMPP** relate to relevant sections of the current JCQ documents **General Regulations for Approved Centres** and **Suspected Malpractice: Policies and Procedures**.

Introduction

What are malpractice and maladministration?

'Malpractice' and 'maladministration' are distinct but related concepts, the common theme being that they involve a failure to follow the rules of an examination or assessment. This policy and procedure uses the word 'malpractice' to cover both 'malpractice' and 'maladministration', and it means any act, default, or practice which is:

- a breach of the Regulations, and/or
- a breach of the awarding body requirements regarding how a qualification should be delivered, and/or
- a failure to follow established procedures in relation to a qualification

which:

- gives rise to prejudice to candidates, and/or
- compromises public confidence in qualifications, and/or
- compromises, attempts to compromise, or may compromise the process of assessment, the integrity of any qualification or the validity of a result or certificate, and/or
- damages the authority, reputation, or credibility of any awarding body or centre or any officer, employee, or agent of any awarding body or centre (SMPP 1)

Candidate malpractice

'Candidate malpractice' normally involves malpractice by a candidate in connection with any examination or assessment, including the preparation and authentication of any controlled assessments, coursework or non-examination assessments, the presentation of any practical work, the compilation of portfolios of assessment evidence, and the completion of any examination. (SMPP 2)

Centre staff malpractice

'Centre staff malpractice' means malpractice committed by:

- a member of staff, contractor (whether employed under a contract of employment or a contract for services), or a volunteer at a centre, or
- an individual appointed in another capacity by a centre, such as an invigilator, a Communication Professional, a Language Modifier, a practical assistant, a prompter, a reader or a scribe (SMPP 2)

Centre malpractice

'Centre malpractice' normally involves malpractice where there is an element of systemic failure, a breach in policies, or widespread malpractice such that a centre-level sanction is appropriate (SMPP 2)

Suspected malpractice

For the purposes of this document, suspected malpractice means all alleged or suspected incidents of malpractice (regardless of how the incident might be categorised, as described in SMPP, section 1.9). (SMPP 2)

Purpose of the policy

To confirm Queen Elizabeth II High School:

- has in place for inspection that must be reviewed and updated annually, a written malpractice policy which covers all qualifications delivered by the centre detailing how candidates are informed and advised to avoid committing malpractice in examinations/assessments, how suspected malpractice issues should be escalated within the centre and reported to the relevant awarding body; it must also acknowledge the use

of AI (e.g., what AI is, when it may be used, and how it should be acknowledged, the risks of using AI, what AI misuse is, and how this will be treated as malpractice) (GR 5.3)

General principles

In accordance with the regulations, Queen Elizabeth II High School will:

- take all reasonable steps to prevent the occurrence of any malpractice (which includes maladministration) before, during, and after assessments have taken place (GR 5.11)
- inform the awarding body **immediately** of any alleged, suspected, or actual incidents of malpractice or maladministration, involving a candidate or a member of staff, by completing the appropriate documentation (GR 5.11)
- as required by an awarding body, gather evidence of any instances of alleged or suspected malpractice (which includes maladministration) in accordance with the current JCQ document **Suspected Malpractice - Policies and Procedures** and provide such information and advice as the awarding body may reasonably require (GR 5.11)

Preventing malpractice

Queen Elizabeth II High School has in place:

- Robust processes to prevent and identify malpractice, as outlined in section 3 of the JCQ document **Suspected Malpractice: Policies and Procedures**. (SMPP 4.3)
- This includes ensuring that staff involved in the delivery of assessments and examinations understand the requirements for conducting these as specified in the following JCQ documents and any further awarding body guidance:
 - General Regulations for Approved Centres 2025-2026
 - Instructions for conducting examinations (ICE) 2025-2026
 - Instructions for conducting coursework 2025-2026
 - Instructions for conducting non-examination assessments 2025-2026
 - Access Arrangements and Reasonable Adjustments 2025-2026
 - A guide to the special consideration process 2025-2026
 - Suspected Malpractice: Policies and Procedures 2025-2026 (this document)
 - Plagiarism in Assessments
 - AI Use in Assessments: Protecting the Integrity of Qualifications
 - Post Results Services June 2025 and November 2025
 - A guide to the awarding bodies' appeals processes 2025-2026
 - Guidance for centres on cyber security

(SMPP 3.2)

Additional information:

Not applicable.

Informing and advising candidates how to avoid committing malpractice in examinations/assessments

Candidates are informed and advised to avoid committing malpractice in examinations/assessments in a variety of ways and from a variety of staff members. Form tutors use the JCQ resource on the use of AI presentation in tutor times leading up to the commencement of assessments and NEA, subject leads and subject teachers use this same documentation resource throughout the time, and before the time of commencement of assessments, and senior members of staff approach this subject with members of staff using the JCQ-approved presentation for teachers. Candidates are briefed on how to avoid committing malpractice during their exam assemblies, taken by senior members of staff, typically taking place before mock exams and before the commencement of any external exams. Candidates are introduced to what AI is, when it may be used, and how it should be acknowledged when it is used. Candidates are also reminded that if AI is used without its proper acknowledgement, then this could be treated as malpractice.

AI use in assessments

With reference to JCQ guidance for Teachers and Assessors – AI use in assessments, Protecting the integrity of Qualifications:

students complete the majority of their exams and a large number of other assessments under

- close staff supervision
- with limited access to unauthorised materials and
- no permission to the internet.

The delivery of these assessments should be unaffected by development in AI tools, as students must not be able to use such tools when completing these assessments, although care must be taken when a student is allowed to use a laptop or similar device for exams, to ensure they have no access to AI tools.

There are some examined assessments in which access to the internet is permitted for the completion of one of the parts of the preliminary work, ie, BTEC Performing Arts, Sport and Enterprise, in the preparatory, research, or production stages. The guidance which is provided by the awarding body is closely followed whilst maintaining the full integrity of the final examined questions.

The majority of other assessments will be Non-Examined Assessments (NEAs), coursework, and internal assessments for General Qualifications (GQs) and Vocational & Technical Qualifications (VTQs). JCQ's guidance, which is designed to help students and teachers complete NEA's coursework and other internal assessments successfully, is followed in relation to these assessments.

The following JCQ support materials are also used to help teachers understand and prevent AI misuse and to help students to better understand the rules for the use of AI in assessments: AI information sheet for teachers, AI poster for students, AI senior leader presentation for teachers, AI teachers presentation for students

Candidates will be issued with of the JCQ **Information for candidates - AI (Artificial Intelligence and assessments)** or similar centre document prior to completing their work/prior to signing the declaration of authentication.

Identification and reporting of malpractice

Escalating suspected malpractice issues

Once suspected malpractice is identified, any member of staff at the centre can report it using the appropriate channels. (SMPP 4.3)

IS THERE ANYTHING THAT SLT/HOC WOULD LIKE TO INCLUDE IN THIS SECTION WHICH IS IN ADDITION TO THE ABOVE.

Reporting suspected malpractice to the awarding body

- The Head of Centre (Mrs Charlotte Clarke) will notify the appropriate awarding body immediately of all alleged, suspected or actual incidents of malpractice, using the appropriate forms, and will conduct any investigation and gathering of information in accordance with the requirements of the JCQ document **Suspected Malpractice: Policies and Procedures** (SMPP 4.1.3)
- The Head of Centre (Mrs Charlotte Clarke) will ensure that, where a candidate is a child or an adult at risk and is the subject of a malpractice investigation, the candidate's parent/carer/ appropriate adult is kept informed of the progress of the investigation (SMPP 4.1.3)
- Form JCQ/M1 will be used to notify an awarding body of an incident of candidate malpractice. Form JCQ/M2 will be used to notify an awarding body of an incident of suspected staff malpractice/maladministration (SMPP 4.4, 4.6)
- Candidate malpractice offences relating to the content of work (i.e., inappropriate/offensive content, copying/collusion, plagiarism (including AI misuse) and/or false declaration of authentication) which are discovered in a controlled assessment, coursework or non-examination assessment component prior to the candidate signing the declaration of authentication, do not need to be reported to the awarding body. Instead, they will be dealt with in accordance with the centre's internal procedures.

Malpractice by a candidate discovered in a controlled assessment, coursework, or non-examination assessment where the offence does not relate to the content of the candidate's work (e.g., possession of unauthorised materials, breach of assessment conditions) or where a candidate has signed the declaration of authentication, must be reported using a JCQ M1 to the relevant awarding body. If, at the time of the malpractice, there is no entry for that candidate (whom the centre intended to enter), the centre is required to submit an entry by the required entry deadline. (SMPP 4.5)

- If, in the view of the investigator, there is sufficient evidence that an individual may have committed malpractice, that individual (the candidate or the member of staff) will be informed of all the required information and the accused individual informed of their rights and responsibilities (SMPP 5.33- 3.4)
- Once the information gathering has concluded, the Head of Centre (Mrs Charlotte Clarke) (or other appointed information-gatherer) will submit a written report to the relevant awarding body summarising the information obtained and actions taken, accompanied by the information obtained during the course of their enquiries (5.35)
- Form JCQ/M1 will be used when reporting candidate cases; for centre staff, form JCQ/M3 will be used (SMPP 5.37)
- The awarding body will decide on the basis of the report and any supporting documentation, whether there is evidence of malpractice and if any further investigation is required. The Head of Centre (Mrs Charlotte Clarke) will be informed accordingly (SMPP 5.40)

Additional information:

Teachers, subject leads, and senior staff make use of the JCQ documents Plagiarism in Assessments: A Guide for Teachers and Assessors, together with AI Use in Assessments – Protecting the Integrity of Qualifications, in order to base their judgements on student AI usage.

Communicating malpractice decisions

Once a decision has been made, it will be communicated in writing to the Head of Centre (Mrs Charlotte Clarke) as soon as possible. The Head of Centre (Mrs Charlotte Clarke) will communicate the decision to the individuals concerned and pass on details of any sanctions and action in cases where this is indicated. The Head of Centre (Mrs Charlotte Clarke) will also inform the individuals if they have the right to appeal. (SMPP 11.1)

Additional information:

Not applicable.

Appeals against decisions made in cases of malpractice

Queen Elizabeth II High School will:

- Provide the individual with information on the process and timeframe for submitting an appeal, where relevant
- Refer to further information and follow the process provided in the JCQ document **A guide to the awarding bodies' appeals processes**

Additional information:

Not applicable.

Changes 2025/2026

(Added) New heading **Centre malpractice** added.

(Added) Under heading **Preventing malpractice** added to the list of JCQ documents.

(Added/amended) Under heading **AI use in assessments**:

- additional/amended text added in bullet points to reflect slight changes in SMPP
- optional insert field added referencing the JCQ document **Information for candidates - AI (Artificial Intelligence and assessments)** or similar centre document.

(Amended) Under heading **Reporting suspected malpractice to the awarding body** text amended to reflect wording changes/additions in SMPP.

Centre-specific changes

Upon review in October 2025, no centre-specific changes were made to this document.